



**MUTUAL FUND - COMMON TRANSACTION FORM**

Date:

Investor Name:

I/ We wish to transact in Mutual Fund Schemes as per the below mentioned details:

**DETAILS FOR BUY/ SELL**

S.No.	Applying For (BUY/SELL)	Folio No.	Scheme Name with Option	Amount (₹)/ Units
	BUY/ SELL			
	BUY/ SELL			
	BUY/ SELL			

**SYSTEMATIC INVESTMENT PLAN (SIP)/ SYSTEMATIC WITHDRAWAL PLAN (SWP) DETAILS**

S.No.	Applying For (SIP/SWP)	Folio No.	Scheme Name with Option	Amount (₹)						
	SIP/ SWP		<table border="1"> <tr> <td>Frequency</td> <td>Start Date</td> <td>No. of Installments</td> </tr> <tr> <td>Monthly/ Weekly</td> <td></td> <td></td> </tr> </table>	Frequency	Start Date	No. of Installments	Monthly/ Weekly			
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Frequency	Start Date	No. of Installments								
Monthly/ Weekly										

**SWITCH DETAILS**

S.No.	Folio No.	Switch from and Switch to Scheme Name with Option	Amount (₹)				
		<table border="1"> <tr> <td>Switch From:</td> <td></td> </tr> <tr> <td>Switch To:</td> <td></td> </tr> </table>	Switch From:		Switch To:		
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Switch From:							
Switch To:							

**SYSTEMATIC TRANSFER PLAN DETAILS**

S.No.	Folio No.	Switch from and Switch to Scheme Name with Option	Amount (₹)										
		<table border="1"> <tr> <td>Switch From:</td> <td></td> </tr> <tr> <td>Switch To:</td> <td></td> </tr> <tr> <td>Frequency</td> <td>Start Date</td> <td>No. of Installments</td> </tr> <tr> <td>Monthly/ Weekly</td> <td></td> <td></td> </tr> </table>	Switch From:		Switch To:		Frequency	Start Date	No. of Installments	Monthly/ Weekly			
Switch From:													
Switch To:													
Frequency	Start Date	No. of Installments											
Monthly/ Weekly													

I/ We authorise IndusInd Bank to debit/ credit my/ our IndusInd Bank Account No. \_\_\_\_\_ to process the above mentioned transactions.

**Nomination Details:** (Choose any one from the below mentioned options)

- I/ We have been explained about the benefits of the nomination facility. However, I/ we would like to inform you that I/ we do not wish to provide nomination for my/ our Mutual Funds units.
- I/ We do hereby nominate the following person on the day of \_\_\_\_\_ in respect of units held by me/ us.

Nominee Name	Nominee Address	Additional Details (if nominee is minor)		
		Nominee DOB	Guardian Name & Address	Guardian Signature

**I/We confirm that:**

1. I/ We have read and understood the product literature comprising of Offer Document/ Key Information Memorandum and other related document with respect to above mentioned Mutual Fund schemes.
2. I/We have been informed about the key features and risk factors of the scheme(s)/ products pertaining to the various Mutual Fund schemes distributed/ referred by the Bank. I/We hereby agree to abide by and be bound by the terms, conditions, rules and regulations thereof.
3. I/We further confirm that any transaction I/ we have decided to make is being made at my/ our own risk, having read and understood all relevant product literature.
4. I am/We are fully aware that my/ our investment does not amount to any form of Bank deposit. I/ We will not hold the Bank responsible for any losses that I/We may suffer in connection with my/ our selection of investing in various Mutual Fund schemes.
5. The investment decisions are solely at my/ our own discretion. I/ We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We have not relied upon or influenced by any suggestions/ recommendations/ investment advice by the Bank and/ or any of its employees, as regards the merits and/ or various Mutual Fund schemes.
6. I am/ We are aware that mere execution of the documents such as application forms for availing of the above mentioned Mutual fund schemes does not guarantee allotment. In the event of delay in processing/ rejection of my/ our application form by the product or service provider for any reason whatsoever, I/ we shall not hold the Bank responsible for the same.
7. I am/ We are aware about IndusInd Bank's cut-off time for processing Mutual Fund transaction on the same day and that all transactions shall be processed on best effort basis.
8. I am/ We are aware that investment made in the various Mutual Fund schemes is subject to risk and that there is no guarantee that the original amount invested will be protected. The value of investment held in the various Mutual Fund schemes is likely to fluctuate depending upon fluctuations in the financial/ capital markets.
9. I/We hereby declare that the amount being invested by me/ us in the scheme/s as offered by the product or service provider is derived through legitimate sources and is not held or designed for the purpose of contravention of any Act, Rules, Regulations or any statute or legislation or any other applicable laws or any Notifications, directions issued by any government or statutory authority from time to time.
10. I/ We confirm and understand that the Bank makes no representation as to the accuracy, completeness or reliability of any information contained in the product literature in respect of the various Mutual Fund schemes as provided by the financial services and/ or products provider.
11. I/We confirm that the Bank has disclosed to me/ us all the commissions (in the form of trail commission or any other mode) receivable by the Bank as mentioned in the Schedule hereunder for the various Mutual Fund schemes referred by the Bank.
12. I/We confirm that the Bank has disclosed to me/ us the information pertaining to the investments chosen to be made by me/ us in the various Investment Services.
13. I/We hereby authorise the Bank to invest in the Mutual Fund schemes as mentioned above.
14. I/We further agree that any false/ misleading information given by me/ us or suppression of any material fact will render my/ our account liable for termination.
15. I/We declare that all the details in my/ our relationship record are true and correct and any instruction given to Bank to transact business on my/ our behalf shall be in due conformity with the applicable laws as may for the time being be in force. Any tax implications arising out of any transactions entered in to pursuant to these terms and conditions would be as per the Provisions of the Income Tax Act, 1961, or any modification or re-enactment thereof.
16. I/We agree and declare that any and all tax liability will be my/ our sole responsibility. I/We shall execute and deliver to the Bank, from time to time such other documents as may be specified by the Bank for compliance or updating of records if any.
17. I/ We have read and understood the terms and conditions applicable to my/ our Investment Service Account and agree to be bound by the said terms and conditions including those excluding/ limiting Bank's liability.
18. I/We undertake to make the applicants to the investments aware of the provisions of the terms and conditions and the same will be binding on me/ us by use of the facility provided herein.
19. For Senior Citizens Only: I am/ We are aware that the Bank doesn't refer investments into Mutual Fund schemes with lock-in period to Individuals of age 65 and above, considering the features, lock-in period and the inherent risk in such schemes may not be suitable for Senior Citizens.

**SCHEDULE I**

<b>Disclosure on Commission received by IndusInd Bank for various kinds of Mutual Fund Schemes</b>	
<b>Scheme Types</b>	<b>Trail Commission (annualised) 1<sup>st</sup> Year Onwards</b>
Debt Mutual Fund	0.00% - 1.30%
Equity Mutual Fund	0.00% - 1.40%
Liquid Mutual Fund	0.00% - 0.50%
Hybrid Mutual Fund	0.00% - 1.20%

**Note:**

1) As per SEBI circular no. Cir/IMD/DF/13/2011 dated August 22, 2011 Mutual Funds are allowed to pay transaction charge to distributor per subscription of ₹10,000 & above. IndusInd Bank has "Opted Out" of this. Hence no such charge will be levied by Mutual Fund on the investment amount. 2) The above Rates are effective 22<sup>nd</sup> October, 2018 or later than that and will be updated on best effort basis and subject to change without any prior consent and at the sole discretion between the AMC and the Bank. 3) IndusInd Bank may receive reimbursement from AMC for marketing, training, investor education and other such activities which cannot be attributed to any scheme or period, as the same is based on any event or marketing activity being carried out along with any one or multiple AMC. 4) For further detail commission structure, please contact our nearest branch or call your relationship manager.

<b>Investment Service Account Holder Name</b>	<b>PAN No.</b>	<b>Signature</b>

**FOR INTERNAL USE ONLY (Not to be filled by the client): Business Solicited By**

<b>Pre-requisite Checks</b>	<b>Sourcer Name</b>	<b>EUIN No.</b>	<b>Signature</b>
<input type="checkbox"/> Online Investment Account Activated			
<input type="checkbox"/> Risk Profile Completed			
<input type="checkbox"/> Mobile & E-mail ID updated in Finacle			

\*It is advised to carry Investment Service Account opening form, Risk Profile Questionnaire and E-mail ID & Mobile No. update form along with this form. This facility can be activated only after the pre-requisite checks are complete.

<b>Introducer Name</b>	<b>Introducer ECN</b>	<b>Introducer Signature</b>